

**Uniform Notice for Funding Opportunity (NOFO)**  
**JAG Comprehensive Law Enforcement Response to Drugs**

	<b>Data Field</b>	
1.	Awarding Agency Name:	Illinois Criminal Justice Information Authority
2.	Agency Contact:	Greg Stevens <a href="mailto:Gregory.Stevens@illinois.gov">Gregory.Stevens@illinois.gov</a> 312-793-0890
3.	Announcement Type:	<input checked="" type="checkbox"/> Initial announcement <input type="checkbox"/> Modification of a previous announcement
4.	Type of Assistance Instrument:	Grant
5.	Funding Opportunity Number:	1405-263; ICJIA-2017-0004
6.	Funding Opportunity Title:	Comprehensive Law Enforcement Response to Drugs
7.	CSFA Number:	546-00-1406-08; 546-00-1469
8.	CSFA Popular Name:	JAG
9.	CFDA Number(s):	16.738
10.	Anticipated Number of Awards:	Unknown
11.	Estimated Total Program Funding:	\$4,200,000
12.	Award Range	Not applicable
13.	Source of Funding:	<input checked="" type="checkbox"/> Federal or Federal pass-through <input type="checkbox"/> State <input type="checkbox"/> Private / other funding
14.	Cost Sharing or Matching Requirement:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
15.	Indirect Costs Allowed	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Restrictions on Indirect Costs	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
16.	Posted Date:	Friday, Friday, March 31, 2017
17.	Closing Date for Applications:	<b>11:59 p.m., Tuesday, May 30, 2017</b>
18.	Technical Assistance Session:	Session Offered: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Session Mandatory: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <b>1:30 p.m., Monday, April 17, 2017</b>  <b>Register for the webinar at:</b> <a href="http://www.icjia.state.il.us/">http://www.icjia.state.il.us/</a>

## **Agency-specific content for the Notice of Funding Opportunity**

### **A. Program Description**

The Illinois Criminal Justice Information Authority administers the federal Edward Byrne Memorial Justice Assistance Grant (JAG) Program in Illinois. The JAG program provides states and units of local government with critical funding to support programs in a range of areas, including law enforcement; prosecution and courts; prevention and education; corrections and community corrections; drug treatment and enforcement; crime victim and witness assistance; and planning, evaluation, and technology improvement.

### **Priorities**

The following JAG Goals/Priorities will be addressed by this funding opportunity:

#### **Drug Enforcement and Treatment Programs**

*Goal:* To support research-informed and promising drug enforcement and treatment efforts by providing resources to programs that break the cycle of system involvement in the criminal justice system for people who abuse legal and illegal drugs and substances, that ensure the safety of the crime victim and the public, and that promote the payment of restitution to crime victims and to support evidence based programs that enhance public safety and reduce the amount of drugs and substances illegally manufactured and distributed.

#### **Prosecution, Court Programs, and Indigent Defense**

*Goal:* To support all public prosecutors, including appellate prosecutors, by providing the resources and training necessary to assist them in protecting public safety by holding offenders accountable while ensuring the constitutional rights of the accused and enforcing crime victims' constitutional and statutory rights.

#### **Law Enforcement**

*Goal:* To support law enforcement by providing them with the resources necessary to protect and serve the community through the development and implementation of data driven, promising, or research-informed policing strategies that protect public safety and reduce crime, particularly violent crime.

## Program-Specific Information

Awards resulting from this opportunity will have a projected period of performance of October 1, 2017 through September 30, 2018. With satisfactory performance, the Authority may recommend to its Board a maximum of 24 additional months of funding for each project.

Three categories of funding are available through this solicitation. The table below details funds earmarked for each category, but the Authority Budget Committee has authorized some flexibility to move funds between categories.

<b>Program Category</b>	<b>Available funds</b>
Multi-jurisdictional large scale drug trafficking enforcement	\$2,200,000
Drug prosecution programs	\$1,500,000
Law enforcement-led substance abuse diversion and deflection programs	\$500,000
<b>Totals:</b>	<b>\$4,200,000</b>

### *Background*

The goal of this solicitation is to increase public safety and reduce the large social and economic cost of drugs use through specialized enforcement and prosecution of drug traffickers and the use of treatment over incarceration for low-level offenders.

The growing nationwide opioid epidemic has left communities struggling to deal with its impact, as first responders and emergency rooms see sharp increases in overdoses, businesses and residents experience thefts to feed user drug habits, and drug use disrupts the families and careers of users. The scale of the problem across the state calls for a comprehensive solution, reducing both the demand for drugs and their supply.

### *Demand*

Law enforcement agencies have recognized that overreliance on the arrest and prosecution of low level drug users does not enhance community safety or effectively reduce demand, as drug users repeatedly cycle through the criminal justice system but never address their addiction. In response, law enforcement agencies have crafted new models to reduce demand by deflecting drug users from involvement in the criminal justice to meaningful treatment, and by diverting low level arrestees from prosecution to treatment. These models are built on partnerships with community health care and substance abuse treatment resources as well as prosecutors.

### *Supply*

Trafficking of drugs inherently crosses jurisdictional boundaries and may only be effectively addressed through multi-jurisdictional initiatives. Multi-jurisdictional large-scale trafficking enforcement programs are cooperative units that work to target drug supply, emphasizing

interdiction and the disruption of networks that control drug trafficking, and the associated violence that established, expands, and protects distribution territories.

Drug prosecution programs work as active partners by providing the case development necessary to target the traffickers rather than users, and increase public safety by disrupting distribution networks and the violence that supports them. Specialized drug prosecutors are necessary to provide assistance to the enforcement programs by obtaining overheard orders, search warrants, and providing investigation consultation.

## **Category 1: Law enforcement Deflection and Diversion Program**

### Description

Individuals face a range of obstacles when attempting to access drug treatment, including lack of knowledge regarding access to services, shame and stigma, denial of substance use disorder or substance misuse, costs and lack of insurance/Medicaid, transportation, treatment waiting lists, and prior negative treatment experiences.<sup>i</sup> Some law enforcement agencies have implemented programs that attempt to reduce obstacles to accessing treatment. Two models are described below.

### *Law Enforcement Deflection Initiative Models*

One model is “deflection” in which law enforcement serves as a point of contact for individuals seeking treatment.<sup>ii</sup> Deflection programs entail substance users either voluntarily contacting the law enforcement or being contacted via outreach efforts. They are offered substance use disorder (SUD) treatment without fear of arrest, and law enforcement provides referral and transportation to treatment facilities.<sup>iii</sup> Law enforcement can immediately connect individuals to appropriate human and social services, including assessment, case management, peer mentoring, and treatment, while helping them to avoid criminal justice system entry.

### Resources

Law Enforcement Deflection Frameworks: A Decision Making Tool for Law enforcement Leaders -- Methods for Diverting People Away from Arrest and Into Services in the Community: (<https://university.pretial.org/HigherLogic/System/DownloadDocumentFile.ashx?DocumentFileKey=43da0a0d-15cf-f2bf-6204-c5869b801bcd>)

[Law enforcement-Assisted Addiction and Recovery Initiative](#) (National program)

[Hope Not Handcuffs](#) (Michigan)

[A Way Out](#) (Lake County)

[Connect for Life](#) (Naperville)

### ***Diversion Initiative Models***

Another model is diversion, in which law enforcement agencies engage individuals after they have had involuntary contact with their officers. Programs are offered pre- or post-arrest. Law enforcement diversion programs offer community-based treatment,<sup>iv</sup> case management, housing, and job attainment services.<sup>v</sup>

#### Resources

[Law Enforcement-Assisted Diversion](#) (King County, Wash.)

[Pre-Arrest Diversion-Adult Civil Citation program](#) (Leon County, Fla.)

### ***Geographic Distribution***

All applications must receive a minimum average score of 75 to be recommended for funding. Of those applications that meet this minimum score requirement, priority will be given to funding at least one project in each of the five regions detailed on the state map in Attachment 1. Additional designations will be based on ranked application scores irrespective of state region.

## **Category 2: Drug Prosecution Units**

#### Description

Drug prosecution units were designed to address the complexities of prosecuting drug trafficking crimes. For example, in drug trafficking cases, the prosecution needs strong evidence proving the involvement in a single enterprise to distribute drugs, as well as an understanding the drug trafficking organization, its day-to-day operations, and links to organized crime and overseas suppliers (Natarajan, 2006). These drugs units work with law enforcement officers to provide prosecutorial oversight to those cases so that the evidence will withstand legal challenges and lead to a successful prosecution. While there is not enough research to draw conclusions on specialized drug prosecution units, research examining other specialized prosecution units have shown these units reduce the likelihood of case rejection (Pyrooz, Wolfe, & Spohn, 2011).

### ***Geographic Distribution***

All applications must receive a minimum average score of 75 to be recommended for funding. Of those applications that meet this minimum score requirement, priority will be given to funding at least one project in each of the five regions detailed on the state appellate district map in Attachment 2. Additional designations will be based on ranked application scores irrespective

of state region.

### **Category 3: Multi-Jurisdictional Large-Scale Drug Trafficking Enforcement**

#### Description

Drug trafficking is the cultivation, manufacture, distribution, and sale of drugs (UNODC, 2016). Trafficking of drugs can be a violent enterprise due to the need to exhibit strength and force to competitors and rivals, as well as for retribution against lower-level distributors who do not sell (Blumstein, 1995; Johnson, 2003). Drug trafficking directly contributes to availability of illicit drugs, growing numbers of drug-users, and increasing numbers of drug-related hospitalizations and deaths, and can contribute to violent crime. Therefore, drug trafficking constitutes a major threat to public health and the well-being of society as a whole.

To combat drug trafficking, states use multi-jurisdictional drug task forces, comprised of law enforcement officers from state, county, and local law enforcement departments to pool resources and more efficiently and effectively combat the drug distribution in multiple jurisdictions (Mazerolle, Soole, & Rombouts, 2007). Outcome evaluations have found that multi-jurisdictional drug task forces are more effective at making more serious drug arrests than non-drug task force law enforcement (Olson et al., 2002; McGarrell & Schlegel, 1993; Schlegel & McGarrell, 1991; and Myrent, 2013).

#### ***Geographic Distribution***

All applications must receive a minimum average score of 75 to be recommended for funding. Of those applications that meet this minimum score requirement, priority will be given to funding at least one project in each of the five regions detailed on the state map in Attachment 1. Additional designations will be based on ranked application scores irrespective of state region.

#### **Program Requirements**

Applicants may request funding under one or more categories of funding.

#### **Category 1: Law Enforcement Deflection and Diversion Programs**

To be eligible for funding, diversion/deflection programs must:

- Be run by a local law enforcement agency, county sheriff's department, or a multi-jurisdictional cooperative law enforcement unit for which an Implementing Agency has been identified.
  - An Implementing Agency in this context is a government agency which will, on behalf of the multi-jurisdictional unit, apply for the grant, enter into the inter-

governmental grant agreement with the Authority, accept and account for grant funds, and meet all Authority reporting and compliance requirements.

- Have developed a plan to make potential clients and referral sources aware of the services available.
- Have a plan to coordinate program activities with community agencies in the service area, including substance abuse treatment, medical care and supportive service providers as well as relevant government agencies.

## **Category 2: Drug prosecution units**

To be eligible for funding, drug prosecution programs must:

- Be run by a county state's attorney's office or The Office of the State's Attorney's Appellate Prosecutor.
- Have a written statement demonstrating collaborative involvement with one or more drug trafficking enforcement unit, as defined in Category 3.

## **Category 3: Multi-Jurisdictional Large-Scale Drug Trafficking Enforcement**

To be eligible for funding, drug trafficking enforcement programs must:

- Be run by a cooperative unit comprised of three or more law enforcement agencies for which an Implementing Agency has been identified.
  - An Implementing Agency in this context is a government agency which will, on behalf of the multi-jurisdictional unit, apply for the grant; enter into the inter-governmental grant agreement with the Authority; accept and account for grant funds; and meet all Authority reporting and compliance requirements.
- Have a written interagency agreement between all participating agencies defining activities and responsibilities.
- Have a prosecutor statement demonstrating collaborative involvement with the member agencies toward meeting the unit's goals and objectives.
- Have a plan of intelligence sharing via computerized networking.
- Have a plan for forfeiture sharing, including how funds will be used.
- Have a commitment for staffing by all participating agencies.

### Additional Requirements: Law Enforcement Task Forces

Every member of a law enforcement drug enforcement unit supported with these funds who is a task force commander, agency executive, task force officer, or other task force member of equivalent rank, will complete required online internet-based task force training once during the

life of the grant award. The training is provided free of charge online through the U.S. Bureau of Justice Assistance Center for Task Force Integrity and Leadership ([www.ctfli.org](http://www.ctfli.org)).

This training addresses task force effectiveness as well as other key issues including privacy and civil liberties/rights, task force performance measurement, personnel selection, and task force oversight and accountability.

In addition, the Authority will require the submission of task force personnel rosters that include training course completion certificates. Go to [www.ctfli.org](http://www.ctfli.org) for more information.

### Evidence-Based Programs or Practices

Applicants are strongly urged to incorporate research-based best practices into their program design. Applicants should identify the evidence-based practice being proposed for implementation, identify and discuss the evidence that shows that the practice is effective, discuss the population(s) for which this practice has been shown to be effective, and show that it is appropriate for the proposed target population.

### Authorizing Statutes

Section 7(k) of the Illinois Criminal Justice Information Act (20 ILCS 3930/7(k)) establishes the Authority as the agency "to apply for, receive, establish priorities for, allocate, disburse and spend grants of funds that are made available...from the United States pursuant to the federal Crime Control Act of 1973, as amended, and similar federal legislation, and to enter into agreements with the United States government to further the purposes of this Act, or as may be required as a condition of obtaining federal funds."

In addition, pursuant to the Authority's rules entitled "Operating Procedures for the Administration of Federal Funds," (20 Illinois Administrative Code 1520 et seq.) the Authority awards federal funds received by the State of Illinois pursuant to the Omnibus Crime Control and Safe Streets Act of 2002 and agency agreements with state agencies and units of local government for the use of these federal funds.

Finally, pursuant to the Edward Byrne Memorial Justice Assistance Grant (JAG) Program, the Authority names the following JAG Program purpose areas for federal fiscal year 2013:

1. Law enforcement programs.
2. Prosecution and court programs.
3. Prevention and education programs.
4. Corrections and community corrections programs.

5. Drug treatment and enforcement programs.
6. Planning, evaluation, and technology improvement programs.
7. Crime victim and witness programs.

Goals, Objectives and Performance Metrics

The goal of this solicitation is to increase public safety and reduce the large social and economic cost of drug use through specialized enforcement and prosecution of drug traffickers and the use of treatment over incarceration for low-level offenders.

Funded programs will be required to report performance measures through the U.S. Department of Justice Bureau of Justice Assistance portal at <https://bjapmt.ojp.gov> and to submit quarterly data reports to the Authority that will minimally include the following information based on the objectives applicant agencies will propose in their responses to this solicitation:

***Deflection Programs***

<b>Goal:</b> Increase public safety and reduce the large social and economic cost of drugs use through the use of treatment over incarceration for low-level offenders.	
<b>Process Objectives</b>	<b>Performance Measures</b>
Hire Deflection Program Coordinator by the first month of the program.	➤ Hire Deflection Program Coordinator by the first month of the program.
Develop and adopt protocol for deflection of low level drug offenders by second month of the program.	➤ Develop and adopt protocol for deflection of low level drug offenders by second month of the program.
Develop and execute referral and treatment placement MOU with substance abuse treatment providers by second month of the program.	➤ Develop and execute referral and treatment placement MOU with substance abuse treatment providers by second month of the program.
Train 100% of officers on the deflection program by third month of the program.	➤ Train 100% of officers on the deflection program by third month of the program.
Train 100% of dispatch/911 staff on deflection program by third month of the program.	➤ Train 100% of dispatch/911 staff on deflection program by third month of the program.
Implement public information initiative by the third month of the program.	➤ Implement public information initiative by the third month of the program.
Deflect XXX drug users from arrest to treatment.	➤ Deflect XXX drug users from arrest to treatment.

Assist 100% of drug users to enroll in available insurance coverage for which they are eligible.	➤ Assist 100% of drug users to enroll in available insurance coverage for which they are eligible.
<b>Outcome Objectives</b>	<b>Performance Measures</b>
XXX% of deflection clients will successfully exit substance abuse treatment.	➤ XXX% of deflection clients will successfully exit substance abuse treatment.
XX% of deflection clients referred to aftercare.	➤ XX% of deflection clients referred to aftercare.
Reduce new law enforcement contact with deflection clients by XXX %.	➤ Reduce new law enforcement contact with deflection clients by XXX %.

### ***Diversion Programs***

<b>Goal:</b> Increase public safety and reduce the large social and economic cost of drugs use through the use of treatment over incarceration for low-level offenders.	
<b>Process Objectives</b>	<b>Performance Measures</b>
Hire Diversion Program Coordinator by the first month of the program.	➤ Month Diversion Coordinator is hired.
Develop and adopt protocol for diversion of low level drug offenders by second month of the program.	➤ Month diversion protocol is adopted.
Develop and execute referral and treatment placement MOU with substance abuse treatment providers by second month of the program.	➤ Month referral and treatment placement MOU is executed.
Train 100% of officers on the diversion program by third month of the program.	<ul style="list-style-type: none"> <li>➤ Percentage of officers trained on diversion by the third month of the program.</li> <li>➤ Month 100% of officers are trained on the diversion program.</li> </ul>
Train 100% of prosecutors on diversion program by third month of the program.	<ul style="list-style-type: none"> <li>➤ Percentage of prosecutors trained on diversion by the third month of the program.</li> <li>➤ Month 100% of prosecutors are trained on the diversion program.</li> </ul>
Divert XXX drug users from arrest to treatment.	<ul style="list-style-type: none"> <li>➤ Number of drug users referred to diversion program.</li> <li>➤ Number of drug users requesting diversion program participation.</li> </ul>

	<ul style="list-style-type: none"> <li>➤ Number of drug users diverted from arrest to treatment.</li> </ul>
Assist 100% of drug users to enroll in available insurance coverage for which they are eligible.	<ul style="list-style-type: none"> <li>➤ Number of diversion program participants screened for insurance eligibility.</li> <li>➤ Number of diversion program participants assisted with insurance enrollment.</li> </ul>
<b>Outcome Objectives</b>	<b>Performance Measures</b>
XXX% of diversion clients will successfully exit substance abuse treatment.	<ul style="list-style-type: none"> <li>➤ Percentage of diversion clients successfully.</li> </ul>
XX% of diversion clients referred to aftercare.	<ul style="list-style-type: none"> <li>➤ Percentage of diversion clients referred to aftercare.</li> </ul>
XX% of diversion clients will successfully completing diversion program.	<ul style="list-style-type: none"> <li>➤ Percentage of diversion clients successfully completing diversion program.</li> </ul>
Reduce new law enforcement contact with diversion clients by XXX %.	<ul style="list-style-type: none"> <li>➤ Number of diversion clients with new law enforcement contact</li> <li>➤ Number of diversion clients re-arrested.</li> </ul>

Category 2: Drugs Prosecution Programs

<b>Goal:</b> Increase public safety and reduce the large social and economic cost of narcotics use through specialized prosecution of drug traffickers.	
<b>Process Objectives</b>	<b>Performance Measures</b>
Hire specialized narcotics prosecutor by the first month of the program.	<ul style="list-style-type: none"> <li>➤ Hire specialized narcotics prosecutor by the first month of the program.</li> </ul>
Attend XX specialized trainings to further educate prosecution unit staff with up-to-date laws and procedures.	<ul style="list-style-type: none"> <li>➤ Attend XX specialized trainings to further educate prosecution unit staff with up-to-date laws and procedures.</li> </ul>
Hold XX trainings/meeting with law enforcement.	<ul style="list-style-type: none"> <li>➤ Hold XX trainings/meeting with law enforcement.</li> </ul>
Provide prosecutorial support to XXX investigations targeting unlawful drug manufacture and distribution.	<ul style="list-style-type: none"> <li>➤ Provide prosecutorial support to XXX investigations targeting unlawful drug manufacture and distribution.</li> </ul>
File charges in/accept for prosecution XXX cases, or XX% of drug manufacturing or distributions cases referred for prosecution.	<ul style="list-style-type: none"> <li>➤ Number of cases referred for prosecution.</li> </ul>

	<ul style="list-style-type: none"> <li>➤ Number of cases of drug manufacture and distribution for which charges are filed and accepted for prosecution.</li> </ul>
<b>Outcome Objectives</b>	<b>Performance Measures</b>
Obtain a XX% conviction rate for drug manufacturing or distribution cases that were accepted for prosecution.	<ul style="list-style-type: none"> <li>➤ Number of cases in which a conviction was obtained.</li> <li>➤ Number of offenders prosecuted for drug manufacturing or distribution by drug type and offense class.</li> <li>➤ Number of offenders convicted of drug manufacturing or distribution by drug type and offense class.</li> </ul>

Category 3: Multi-Jurisdictional Large Scale Drug Trafficking Enforcement

<b>Goal:</b> Increase public safety and reduce the large social and economic cost of drug use through specialized enforcement and investigation of drug traffickers.	
<b>Process Objectives</b>	<b>Performance Measures</b>
Assign officers from member agencies by the first month of the program.	<ul style="list-style-type: none"> <li>➤ Month member agency officers are assigned to the unit.</li> </ul>
XX % of funded officers will complete specialized investigations training by the third month of the program.	<ul style="list-style-type: none"> <li>➤ Percentage of funded officers completing specialized investigations training by the third month of the program.</li> </ul>
Maintain a collaborative relationship with prosecution team by holding XXX meetings/trainings with prosecution teams.	<ul style="list-style-type: none"> <li>➤ Number of meetings/trainings with prosecution.</li> </ul>
Initiate and/or maintain cooperation and interaction with schools, community agencies, and citizen groups to develop crime solving and prevention strategies.	<ul style="list-style-type: none"> <li>➤ Number of new &amp; ongoing partnerships.</li> <li>➤ Number of outreach programs provided to community.</li> </ul>
Conduct XXX investigations.	<ul style="list-style-type: none"> <li>➤ Number of investigations initiated.</li> <li>➤ Number on-going.</li> <li>➤ Number completed.</li> </ul>
XXX warrants will be issued.	<ul style="list-style-type: none"> <li>➤ Number of warrants issued.</li> </ul>
XXX consensual overhears will be issued.	<ul style="list-style-type: none"> <li>➤ Number of overhears issued.</li> </ul>
XXX informants will be enlisted.	<ul style="list-style-type: none"> <li>➤ Number of informants enlisted.</li> </ul>

XXX of undercover drug buys.	➤ Number of undercover drug buys.
<b>Outcome Objectives</b>	<b>Outcome Objectives</b>
Make an arrest in XX% of completed investigations of those unlawfully selling and/or possessing the drug.	<ul style="list-style-type: none"> <li>➤ Number of arrests made by drug and charge.</li> <li>➤ Percentage of completed investigations resulting in at least one arrest.</li> <li>➤ Number of seizures by drug type</li> <li>➤ Value of currency seized.</li> <li>➤ Value of Drug(s) Seized by type.</li> </ul>
XX% of arrests will be referred for prosecution.	➤ Number of arrestees referred for prosecution by drug and charge.
XX% of cases referred will be accepted for prosecution.	<ul style="list-style-type: none"> <li>➤ Number of arrestees accepted for prosecution by drug and charge.</li> <li>➤ Number of arrestees Nolle Pros.</li> </ul>
XX% of arrest will result in conviction.	➤ Number of convictions by drug, class of offense and sentence.

## B. Funding Information

Grant awards resulting from this opportunity will have a target period of performance of October 1, 2017 through September 30, 2018. With satisfactory performance, the Authority may recommend to its Board a maximum of 24 additional months of funding for each project.

A total of \$4.2 million in federal funding is available through this solicitations for three categories of funding. Funding earmarks for each category are detailed below, but the Authority reserves the right to make grant awards to the highest scoring applications irrespective of category earmarks.

Program Category	Available funds
Category 1: Law Enforcement-Led Substance Abuse Diversion And Deflection Programs	\$500,000
Category 2: Drug Prosecution Programs	\$1,500,000
Category 3: Multi-Jurisdictional Large Scale Drug Trafficking Enforcement	\$2,200,000
<b>Totals:</b>	<b>\$4,200,000</b>

*Funding Ranges by Category*

The Authority has established minimum and maximum funding limits for each category. Points will be deducted for applications requesting amounts outside of the funding limits, which are detailed below.

**Category 1**

<b>Deflection/Diversion</b>	
<b>Minimum</b>	\$30,000
<b>Maximum</b>	\$75,000

Categories 2 and 3 funding ranges are based on population, as detailed below.

**Category 2**

<b>Prosecution</b>	<b>Tier One</b>	<b>Tier Two</b>	<b>Tier Three</b>	<b>Tier Four</b>
<b>Population</b>	0-499,999	500,000- 1,499,999	1,500,000 – 4,999,999	5,000,000 +
<b>Available Funding Range</b>	\$75,000 - \$100,000	\$75,000 – \$ 150,000	\$75,000 - \$500,000	\$75,000 - \$750,000

**Category 3**

<b>Enforcement</b>	<b>Tier One</b>	<b>Tier Two</b>	<b>Tier Three</b>
<b>Population</b>	0-499,999	500,000- 749,999	750,000 +
<b>Available Funding Range</b>	\$50,000 - \$150,000	\$75,000 - \$ 200,000	\$75,000 - \$225,000

Agreements that result from this funding opportunity are contingent upon and subject to the availability of funds. The Authority, at its sole discretion, may terminate or suspend this agreement, in whole or in part, without penalty or further payment being required, if (1) the Illinois General Assembly or the federal funding source fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason (30 ILCS 500/20-60), (2) the Governor decreases the Authority’s funding by reserving some or all of the Authority appropriation(s) pursuant to power delegated to the Governor by the Illinois General Assembly; or (3) the Authority determines, in its sole discretion or as directed by the Office of the Governor, that a reduction is necessary or advisable based upon actual or projected budgetary considerations. The Implementing Entity will be notified in writing of the failure of appropriation or of a reduction or decrease.

Applications must include an Implementation Schedule that describes how the program activities will be carried out. The Implementation Schedule must include information that will allow the Authority to assess grant activity relative to planned project performance.

### C. **Eligibility Information**

Agencies must be pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, [www.grants.illinois.gov](http://www.grants.illinois.gov), to become eligible to apply for an award. During pre-qualification, Dun and Bradstreet verifications are performed, including a check of Debarred and Suspended status and good standing with the Secretary of State. The pre-qualification process also includes a financial and administrative risk assessment utilizing an Internal Controls Questionnaire (ICQ).

**Applicants must have completed the GATA pre-qualification process and received approval of their ICQ by the date of application. Applications from agencies that have not received ICQ approval will not be reviewed.**

#### **1. *Eligible Applicants.***

This solicitation is open to Illinois municipalities, counties, and state agencies. Private and non-profit entities are not eligible to apply.

#### **2. *Cost Sharing or Matching***

There is no matching or cost-sharing requirement.

#### **3. *Indirect Cost Rate.***

In order to charge indirect costs to a grant, the applicant organization must have an annually negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

a) Federally Negotiated Rate: Applicant organizations that receive direct federal funding may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.

b) State Negotiated Rate: The organization must negotiate an indirect cost rate with the State of Illinois if they do not have Federally Negotiated Rate or elect to use the De Minimis Rate. The indirect cost rate proposal must be submitted to the State of Illinois within 90 days of the notice of award.

c) De Minimis Rate: An organization that has never received a Federally Negotiated Rate may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the de minimis rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the de minimis rate. Applicants must submit documentation of the MTDC calculation to the Authority within 90 days of the execution of a grant agreement under this solicitation.

#### ***4. Thresholds***

Applications must receive an average score of at least 75 points to be recommended for funding.

Agencies may submit applications for more than one category of funding.

Multi-jurisdictional applications will be assigned to geographic areas where their program activity is anticipated to be greatest. For instance, if an applicant proposed to serve three counties, two of which are in geographic area 1, but the bulk of their activity is proposed to take place in geographic area 2, the application will be assigned to area 2.

### **D. Application and Submission Information**

#### ***1. Address to Obtain Application Materials***

Applications must be obtained at <http://www.icjia.state.il.us/> by clicking on the link titled “JAG Comprehensive Law Enforcement Response to Drugs”. All required application materials must be emailed to [CJA.2017DrugNOFO@Illinois.gov](mailto:CJA.2017DrugNOFO@Illinois.gov) by **11:59 p.m.**, Tuesday, **May 30, 2017**, to be considered for funding. Proposals will not be accepted by mail, fax or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Paper copies of the application materials may be requested by calling Gregory Stevens at 312-793-0890, but applications may only be submitted via email.

In order to avoid unforeseen technical difficulties, agencies are encouraged to plan to submit their applications 72 hours in advance of the deadline. Technical difficulties experienced at any point during the process should be reported immediately to the Authority by calling Gregory Stevens at (312) 793-0890.

#### ***2. Content and Form of Application Submission***

For application review and consideration, the following documents must be emailed to [CJA.2017DrugNOFO@Illinois.gov](mailto:CJA.2017DrugNOFO@Illinois.gov) by the **11:59 p.m., May 30, 2017**, deadline:

- Completed and signed Uniform State Grant Application in PDF format, including:
  - Federal Employer Identification Number (FEIN).
  - DUNS number for each agency requesting funding.
    - To obtain a DUNS number, visit from Dun and Bradstreet, Inc., online at [www.dunandbradstreet.com](http://www.dunandbradstreet.com) or call 1-866-705-5711.
  - Expiration date for System for Award Management (SAM) database registration and CAGE Code for all funded entities.
    - For information about SAM registration procedures, go to [www.sam.gov](http://www.sam.gov).
- Completed Program Narrative in Word.
- Completed Budget/Budget Narrative in Excel.

3. ***Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)***. Each applicant is required to:

(i) Be registered in SAM before submitting its application. If you are not registered in SAM, this link provides a connection for SAM registration: <https://governmentcontractregistration.com/sam-registration.asp>.

(ii) Provide a valid DUNS number in its application. To obtain a DUNS number, visit from Dun and Bradstreet, Inc., online at [www.dunandbradstreet.com](http://www.dunandbradstreet.com) or call 1-866-705- 5711.

(iii) Maintain an active SAM registration throughout the application and grant period. The Authority may not make a federal pass-through or state award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with the requirements by the time the Authority is ready to make a federal pass-through or state award, the Authority may determine that the applicant is not qualified to receive an award and may use that determination as a basis for making a federal pass-through or state award to another applicant.

5. ***Submission Dates and Times.***

Technical Assistance Webinar

Applicants must view a mandatory NOFO webinar on the Authority website at [www.icjia.state.il.us](http://www.icjia.state.il.us). The webinar will be available for viewing beginning at **1:30 p.m. on Tuesday, April 17, 2017**.

### Letters of Intent to Apply

Applicant agencies are asked to submit a Letter of Intent to Apply by 5:00 p.m. on **Friday, April 28, 2017**. Failure to submit a Letter of Intent will not disqualify an applicant.

Letters of Intent are submitted by completing the online template at:  
<http://www.icjia.state.il.us/>.

### Application Submission Deadline

All applications are due by **11:59 p.m. May 30, 2017**.

### 6. *Intergovernmental Review.*

Not applicable.

### 7. *Funding Restrictions.*

Federal guidelines will determine grant allowability. The Federal Financial Guide which details allowable and unallowable costs is available at:  
<http://ojp.gov/financialguide/index.htm>.

In addition, costs may be determined to be unallowable even if not expressly prohibited in the Federal Financial Guide.

### *Supplanting*

Awarded funds must be used to supplement existing funds for grant activities and must not replace funds that have been appropriated for the same purpose. Supplanting shall be the subject of application review, as well as pre-award review, post-award monitoring, and audit. If there is a potential presence of supplanting, the applicant or grantee will be required to supply documentation demonstrating that the reduction in non-Authority funds occurred for reasons other than the receipt or expected receipt of Authority funds.

### *Prohibited Uses*

#### Land acquisition and construction

Grant funds shall not be used for land acquisition or construction projects.

## JAG Prohibited and Controlled Expenses

Page 1 of the JAG Prohibited and Controlled Expenditures chart lists all expenditures which were strictly prohibited starting in federal fiscal year 2015. These expenditures may not be approved under any circumstances, pursuant to the Executive Order 13688 on Federal Support for Local Law Enforcement Equipment Acquisition.

<https://www.bja.gov/Funding/JAGControlledPurchaseList.pdf>

There are no strictly prohibited expenditures for programs funded with awards from federal fiscal year 2014 and prior years. However, prior approval by the U.S. Department of Justice Bureau of Justice Assistance is required to expend JAG funds on any projects and/or items listed within the following JAG Waiver Required List.

<https://www.bja.gov/funding/JAGpriorwaiverlist.pdf>

### *Pre-Award Costs*

No costs incurred before the start date of the interagency agreement may be charged to awards resulting from this funding opportunity.

### *Program Income*

Program Income is defined as income directly attributable to grant funds and directly related to the goals and objectives of the project.

The Authority requires implementing agencies to account for program income. Program income can be reported on a cash or accrued-income basis. The federal proportion of the program income must be accounted for minimally in the same ratio of federal participation as funded in the program.

For example, if a program is funded at 75% federal funds and 25% non-federal funds, and the total program income earned by the grant is \$100,000, \$75,000 must be accounted for and reported by the implementing agency as program income. The portion of income attributable to the federal share may be used by the implementing agency for matching funds for future agreements with the Authority.

### *State Travel Guidelines*

All travel costs charged to the Authority per contractual agreement must conform to State Travel Guidelines, which may be found here:

[https://www.illinois.gov/cms/Employees/travel/Documents/travelguide\\_FY2017.pdf](https://www.illinois.gov/cms/Employees/travel/Documents/travelguide_FY2017.pdf)

Applicant agencies with lower cost travel guidelines must use those lower rates.

### *Pre-approvals*

In compliance with federal guidance, the Authority:

- Requires prior written approval of:
  - Requests for Proposals.
  - All subcontracts.
  - Out-of-state travel.
- Requires prior written approval and additional justification of conference, meeting, and training costs for grant recipients. In addition, the Authority:
  - Encourages minimization of conference, meeting, and training costs.
  - Sets cost limits, including a general prohibition of all food and beverage costs.

Authority review of these materials should be incorporated into application Implementation Schedules.

### *Food and Beverage Costs*

Food and beverages may not be budgeted to federal or matching dollars under this funding opportunity.

### **8. *Other Submission Requirements.***

Proposals may only be submitted via email. Proposals will not be accepted by mail, fax or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

## **E. Application Review Information**

### **1. *Criteria.***

Application materials must address all components of the NOFO and demonstrate both a need for the program and an ability to successfully implement the program.

The total number of points available is 100.

Scoring Criteria	Possible Points	
<b>Summary of the Program:</b>		<b>5</b>
<ul style="list-style-type: none"> <li>Provides a clear, concise summary of the proposal stating the problems or needs to be addressed, and states outcomes to be gained.</li> </ul>	5	
<b>Statement of the Problem – Description of Service Area:</b>		<b>10</b>
<ul style="list-style-type: none"> <li>Lists the geographic area to be served.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Provides the population and the urban/suburban/rural characteristics of the area to be served as well as any other descriptive information the applicant views as relevant to the statement of need.</li> </ul>	5	
<b>Statement of the Problem – Current Situation:</b>		<b>10</b>
<ul style="list-style-type: none"> <li>Provides a complete data table that demonstrates need for the program, and indicates what the data demonstrate.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Clearly explains current strategies being implemented to address the stated need.</li> </ul>	5	
<b>Statement of the Problem – Unmet Needs:</b>		<b>10</b>
<ul style="list-style-type: none"> <li>Identifies unmet programmatic needs.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Describes the local resources available to meet the identified programmatic needs, and identifies why the jurisdiction may lack adequate resources to implement the program without JAG funding.</li> </ul>	5	
<b>Project Implementation:</b>		<b>20</b>
<ul style="list-style-type: none"> <li>Provides a completed Implementation Schedule that sets reasonable steps for project development and operation, and clearly describes responsible parties.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Describes a reasonable, achievable plan to implement the project that addresses the documented need.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Clearly details program components to be funded.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Demonstrates a clear understanding of the project requirements detailed in the NOFO.</li> </ul>	5	
<b>Goals, Objectives and Performance Indicators:</b>		<b>10</b>
<ul style="list-style-type: none"> <li>Sets reasonable benchmarks for the performance of both process and outcome objectives.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Describes how each objective will be accomplished.</li> </ul>	5	
<b>Project Management:</b>		<b>19</b>
<ul style="list-style-type: none"> <li>Describes how project success will be measured and details how and when data will be collected and reported by each funded entity.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Describes plan for coordination and supervision of the project activities.</li> </ul>	5	
<ul style="list-style-type: none"> <li>Addresses potential barriers and how they will be addressed.</li> </ul>	5	

<ul style="list-style-type: none"> <li>Lays out a plan to sustain the program after the end of federal funding.</li> </ul>	4	
<b>Budget Detail:</b>		<b>10</b>
<ul style="list-style-type: none"> <li>Budget is complete.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Costs are allowable.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Costs do not appear to be supplanting.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Costs are reasonable.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Budgeted items are cost-effective in relation to the proposed activities.</li> </ul>	2	
<b>Budget Narrative:</b>		<b>6</b>
<ul style="list-style-type: none"> <li>Narrative is complete for all line items, clearly detailing how the applicant arrived at and calculated the budget amounts.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Narrative describes why each line item is necessary for program implementation.</li> </ul>	2	
<ul style="list-style-type: none"> <li>Narrative does not appear to describe any supplanting.</li> </ul>	2	
<b>Total Possible Points</b>		<b>100</b>

## 2. Review and Selection Process.

All applications will be screened for completeness and to ascertain if the applicant agency has a current approved GATA ICQ. Applications from agencies that lack an approved ICQ will not be reviewed.

Proposals that pass the screening process will be reviewed by a panel of Authority grant, research, and program staff. Proposal selection will be made using the criteria hierarchy detailed below:

Categories 1 and 3 <a href="#">Link to map One</a>	Category 2 <a href="#">Link to map Two</a>
Highest scoring application for each region	
Second highest scoring application for each region	
Next highest scores	
Available funding	
Highest score: Statement of the Problem – Unmet Needs	
Highest score: Statement of the Problem – Current Situation	
Highest score: Statement of the Problem – Description of Service Area	
Highest score: Project Implementation	

The Authority reserves the right to reject any or all proposals it determines to be incomplete, include unallowable activities, that fail to meet program requirements, or are otherwise deemed to be unsatisfactory. The Authority also reserves the right to invite one or more applicants to submit amended proposals and to modify budgets which include unallowable or unreasonable costs.

Review team recommendations will be forwarded to the Authority's Budget Committee for approval. Applicants will be notified of the Budget Committee's decision.

### **3. *Appeal Process***

Unsuccessful applicants may request an appeal. Appeals are limited to the evaluation process. Evaluation scores may not be protested. The appeal must be in writing and submitted within fourteen (14) calendar days after the date the grant award notice is published. The written appeal must include at a minimum the following:

- 1) The name and address of the appealing party.
- 2) Identification of grant program.
- 3) A statement of reason for the appeal.

Please send your appeal to:

Illinois Criminal Justice Information Authority  
Gregory Stevens  
300 W. Adams Street, Suite 200  
Chicago, IL 60606

Once an appeal is received, ICJIA will acknowledge receipt of an appeal within fourteen (14) calendar days from the date the appeal was received. ICJIA will respond to the appeal within 60 days or supply a written explanation as to why additional time is required. The appealing party must supply any additional information requested by ICJIA within the time period set in the request. ICJIA will resolve the appeal by means of written determination. The determination will include:

- Review of the appeal
- Appeal determination
- Rationale for the determination

A person or organization aggrieved by the agency determination may seek judicial review only through the Circuit Courts of the State of Illinois within 35 days from the date that the agency determination was issued.

**4. Programmatic Risk.**

All applicant agencies recommended for funding will be required to submit a completed Authority Programmatic Risk Assessment (PRA). This assessment will identify elements of fiscal and administrative risk at the program level and will be used to determine required specific conditions to the interagency agreement.

The PRA must be completed for the program agency.

Implementing agency vs. program agency

- An implementing agency is the legal entity that receives federal funds.
- A program agency:
  - Is a subdivision of the implementing agency.
  - Carries out program operations.
  - Is responsible for data and fiscal reporting.

PRA completed for other state agencies will not be accepted by the Authority.

**5. Minimum Scores**

Applications must receive an average score of at least 75 points to be recommended for funding.

**6. Anticipated Announcement and State Award dates.**

<b>Task</b>	<b>Date</b>
NOFO posted	Friday, March 31, 2017
Applicant webinar registration deadline	Thursday, April 13, 2017
Applicant webinar	Monday, April 17, 2017
Letters of Intent due	Friday, April 28, 2017
NOFO question submission deadline	Wednesday, May 24, 2017

<b>Applications due</b>	<b>11:59 p.m., Tuesday, May 30, 2017</b>
Notices of State Award released	Monday, July 24, 2017
Budget Committee review/approval of recommended designations	September, 2017
Program start date	October 1, 2017

## **F. Award Administration**

### *1. State Award Notices.*

The Authority Budget Committee is scheduled to review and approve designations in September 2017.

The Authority will email a Notice of State Award (NOSA) to successful applicants in August 2017 for agreements beginning on or about **October 1, 2017**. The NOSA will detail specific conditions that will be included in the grant agreement. Applicant agencies must return the signed NOSA before the start of the agreement.

The NOSA will also detail any outstanding documents that must be received before an award may be issued, including the following required documents which may be viewed at [www.icjia.state.il.us](http://www.icjia.state.il.us):

- Fiscal Information Sheet in Word completed by the Implementing Agency.
- Audit Information Sheet in PDF format completed and signed by the Implementing Agency.
- Federal Debarment certification completed and signed by the Program Agency.
- EEOP certifications completed and signed by the Implementing Agency
- EEOP certifications completed and signed by the Program Agency
- Completed and signed Civil Rights certifications by the Implementing Agency
- Completed and signed Civil Rights certifications by the Program Agency.
- Completed Authority Programmatic Risk Assessment completed for the Program Agency in Excel.

### Costs Incurred.

No costs incurred before the effective date of the agreement may be charged to the grant.

### Indirect Cost Rate.

In order to charge indirect costs to a grant, the applicant organization must have an annually negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

- a) **Federally Negotiated Rate:** Applicant organizations that receive direct federal funding may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.
- b) **State Negotiated Rate:** The organization must negotiate an indirect cost rate with the State of Illinois if they do not have Federally Negotiated Rate or elect to use the De Minimis Rate. The indirect cost rate proposal must be submitted to the State of Illinois within 90 days of the notice of award.
- c) **De Minimis Rate:** An organization that has never received a Federally Negotiated Rate may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the de minimis rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the de minimis rate. Applicants must submit documentation of the MTDC calculation to the Authority within 90 days of the execution of a grant agreement under this solicitation.

### Task Force Special Conditions

Grant agreements will also include special conditions regarding task force member training.

#### **2. *Debriefings***

Unsuccessful applicants may request a debriefing for feedback that could help them improve future funding applications. Briefings will take the form of advice to applicants on the strengths and weaknesses of their applications in terms of the evaluation and review criteria.

Requests for debriefings must be made in writing and submitted within seven (7) calendar days after receipt of notice.

Please send requests to:

Greg Stevens, Program Administrator  
Illinois Criminal Justice Information Authority  
300 W. Adams Street, Suite 200  
Chicago, Illinois 60606

4) ***Administrative and National Policy Requirements.***

In addition to implementing the funded project consistent with the agency-approved project proposal and budget, agencies selected for funding must comply with grant terms and conditions and other legal requirements, including, but not limited to, the Authority Financial Guide and policy and Procedure Manual, the Office of Management and Budget Grants Accountability and Transparency Act, and U.S. Department of Justice regulations which will be included in the award documents, incorporated into the award by reference, or are otherwise applicable to the award.

Successful applicants will be required to enter into intergovernmental agreements for each funded component of the program. Standard JAG agreements may be viewed at <http://www.icjia.state.il.us/public/>. Additional programmatic and administrative special conditions may be required.

5) ***Reporting.***

Recipients must submit quarterly financial reports, quarterly progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the CFR Part 200 Uniform Requirements. Future awards and fund drawdowns may be withheld if reports are delinquent.

In addition, funded programs will be required to report performance measures through the U.S. Department of Justice Bureau of Justice Assistance portal at <https://bjapmt.ojp.gov>.

**G. State awarding agency contact(s)**

For questions and technical assistance regarding application submission, contact:

Gregory Stevens  
Program Administrator  
Illinois Criminal Justice Information Authority  
300 W. Adams, Suite 200  
Chicago, Illinois 60606  
(312) 793-0890

## H. Other Information

1. Neither the State of Illinois nor the Authority are obligated to make any award as a result of this announcement. The Authority Executive Director has sole authority to bind the state government to the expenditure of funds through the execution of interagency grant agreements.

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<sup>i</sup> Appel, P. W., Ellison, A. A., Jansky, H. K., & Oldak, R. (2004). Barriers to enrollment in drug abuse treatment and suggestions for reducing them: Opinions of drug injecting street outreach clients and other system Stakeholders. *The American Journal of Drug and Alcohol Abuse*, 30(1), 129–153.

<sup>ii</sup> Charlier, J. (2015). Want to reduce drugs in your community? You might want to deflect instead of arrest. *The Law enforcement Chief*, 30-31.

<sup>iii</sup> Charlier, J. (2015). Want to reduce drugs in your community? You might want to deflect instead of arrest. *The Law enforcement Chief*, 30-31.; Law enforcement-Assisted Addiction and Recovery Initiative. (2016). *About us*. Newton, MA. Retrieved from <http://paarius.org/>

<sup>iv</sup> Beckett, K. (2014). *Seattle's Law Enforcement Assisted Diversion Program: Lessons learned from the first two years*. Seattle, WA: University of Washington.

<sup>v</sup> Collins, S.E., Lonczak, H. S., & Clifasefi, S. L. (2015). *LEAD program evaluation: Recidivism report*. Seattle, WA: University of Washington LEAD Evaluation Team, Harm Reduction Research and Treatment Lab.